

J1042 U.S. PTO
04/02/01

Please type a plus sign (+) inside this box

04-03-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 5222.00108

First Inventor Edward J. Gottsman

Title Matrix-Based User Interface and System for Creating the Same

Express Mail Label No. EL 842438806 US

J103 U.S. PTO
04/02/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 25]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C.113) [Total Sheets 6]
- Oath or Declaration [Total Pages]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for PatentsBox Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of Attorney (*when there is an assignee*)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Certificate of Express Mail

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____ / _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

| | | | | | |
|---------|---|-----------|--------------|----------|------------------|
| Name | Charles L. Miller Banner & Witcoff, Ltd. | | | | |
| Address | 10 S. Wacker Drive Suite 3000 | | | | |
| City | Chicago | State | IL | Zip Code | 60606 |
| Country | USA | Telephone | 312-715-1000 | | Fax 312-715-1234 |

| | | | |
|-------------------|--------------------------|-----------------------------------|-------------|
| Name (Print/Type) | Charles L. Miller | Registration No. (Attorney/Agent) | 43,005 |
| Signature | <i>Charles L. Miller</i> | | Date 4/2/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 790)

| Complete if Known | |
|----------------------|--------------------|
| Application Number | |
| Filing Date | April 2, 2001 |
| First Named Inventor | Edward J. Gottsman |
| Examiner Name | |
| Group / Art Unit | |
| Attorney Docket No. | 05222.00108 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

| | |
|---|---------|
| Deposit Account Number | 01-0850 |
| Deposit Account Name | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | |
| 2. <input type="checkbox"/> Payment Enclosed: | |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | |

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|----------|-----------------------|----------|------------------------|----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1)

(\$ 710)

2. EXTRA CLAIM FEES

| Total Claims | 15 | -20 | = 0 | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|-----|-----|--------------|----------------|----------|
| Independent Claims | 4 | -3 | = 1 | X 80 | = 80 | |
| Multiple Dependent | | | | X 0 | = 0 | |

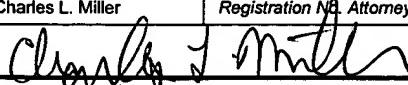
| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description |
|-----------------------|----------|-----------------------|----------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 80)

**or number previously paid, if greater; For Reissues, see above

| 3. ADDITIONAL FEES | Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
|-----------------------------------|-----------------------|----------|-----------------------|--|-----------------|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| 139 | 130 | 139 | 130 | Non-English specification | | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | | |
| 119 | 310 | 219 | 155 | Notice of Appeal | | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | | |
| 121 | 270 | 221 | 135 | Request for oral hearing | | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | | |
| 141 | 1,240 | 241 | 620 | Petition to revive – unintentional | | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | | |
| 143 | 440 | 243 | 220 | Design issue fee | | |
| 144 | 600 | 244 | 300 | Plant issue fee | | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | |
| Other fee (specify) _____ | | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | SUBTOTAL (3) | (\$ 0) |

| SUBMITTED BY | | Complete if applicable | | |
|-------------------|---|---------------------------------|--------|------------------------|
| Name (Print/Type) | Charles L. Miller | Registration No. Attorney/Agent | 73,805 | Telephone 312-715-1000 |
| Signature |  | | | Date 4/2/01 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

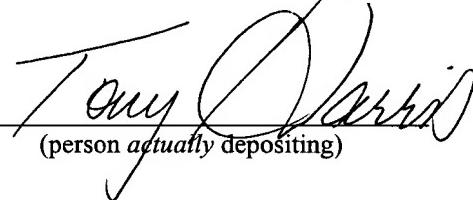
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail No. EL 842438806 US

Deposited April 2, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to the Asst. Commissioner for Patents, Washington, DC 20231.

By:


(person *actually* depositing)

In the Application of: Edward J. Gottsman

Serial No.: _____

Filing Date: April 2, 2001

Title: Matrix-Based User Interface and System for Creating the Same

- Utility Patent Application (25 page spec.)
- 6 sheets of formal drawings
- Fee Transmittal
- Transmittal Letter
- Postcard

Attorney Docket No.: 5222.00108